

Electronic Acknowledgement Receipt

EFS ID:	2476606
Application Number:	09961026
International Application Number:	
Confirmation Number:	4659
Title of Invention:	SECURITIES PRICING SYSTEM
First Named Inventor/Applicant Name:	Barry McQuain
Customer Number:	22440
Filer:	Allen Ira Rubenstein/Susan Piperno
Filer Authorized By:	Allen Ira Rubenstein
Attorney Docket Number:	5374/002
Receipt Date:	16-NOV-2007
Filing Date:	20-SEP-2001
Time Stamp:	11:03:37
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$1749
RAM confirmation Number	6889
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)

1	Issue Fee Payment (PTO-85B)	5374-002_Issue_Fee_Transmittal.pdf	198228 d2cc14431d1a5a2b18edeb07850d3c06fad798d	no	1
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Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8443 be793d002cbab7e79998be22128d5ef53f73d	no	2
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Warnings:

Information:

Total Files Size (in bytes):

206671

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.